



UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 01-493)

2152#5  
PATENT  
02-05-02

RECEIVED

IN THE APPLICATION OF:

Hamish Donald Stuart Martin et al.

Serial No. 09/897,381

Filed: July 02, 2001

Title Network Management Apparatus  
And Method For Processing Events  
Associated With Device Reboot

Examiner:

Group Art Unit:

Confirmation No.:

OCT 12 2001

Technology Center 2100

To be Assigned

2152

8455

BOX DD

Commissioner for Patents  
Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached:

- Information Disclosure Statement;
- Form PTO-1449;
- Copy of IDS Citations 09/897,381 (6 references);
- Return Receipt Postcard.

2. With respect to additional fees:

☒ No additional fee is required.

3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.

4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box DD, Washington, D.C. 20231 on this 3<sup>rd</sup> day of October, 2001.

By :

Timothy R. Baumann  
Reg. No. 40,502

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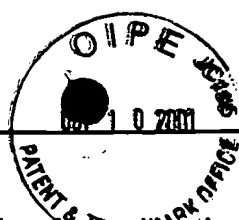
INFORMATION DISCLOSURE STATEMENT

BOX DD  
Commissioner for Patents  
Washington, D.C. 20231-9999

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the



Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

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01-493

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Applicant

Hamish Donald Stuart Martin et al.

Technology Center 2100

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U.S. Patent Documents

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Foreign Patent Documents

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Other Art

See Page 2

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1						
	A2						
	A3						
	A4						
	A5						
	A6						
	A7						
	A8						
	A9						
	A10						
	A11						
	A12						
	A13						
	A14						
	A15						
	A16						
	A17						
	A18						
	A19						
	A20						

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



Form PTO-1449 (modified)

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Atty. Docket No.

01-493

Serial No.

09/897,381

Applicant

Hamish Donald Stuart Martin et al.

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U.S. Patent Documents

See Page 1

Foreign Patent Documents

See Page 2

Other Art

See Page 2

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1						
	B2						
	B3						
	B4						
	B5						

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C1	Decker et al., "Definitions Of Managed Objects For Bridges", RFC: 1493, July 1993, pages 1-34.
	C2	McMaster et al., "Definitions Of Managed Objects For IEEE 802.3 Repeater Devices", RFC: 1516, September 1993, pages 1-40.
	C3	S. Waldbusser, "Remote Network Monitoring Management Information Base", RFC:1757, February 1995, pages 1-91.
	C4	McCloghrie et al., "Management Information Base For Network Management Of TCP/IP-Based Internets: MIB-II", RFC:1213, March 1991, pages 1-70.
	C5	IEEE Standard For Information Technology, "Part 2: Logical Link Control", 802.2, 1998, pages i-239.
	C6	IEEE Standard For Information Technology, "Part 3: Carrier Sense Multiple Access With Collision Detection (CSMA/CD) Access Method And Physical Layer Specifications", 802.3, 2000, pages i-1515.
	C7	
	C8	
	C9	
	C10	
	C11	
	C12	

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INFORMATION DISCLOSURE STATEMENT — PTO-1449 (MODIFIED)



references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

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**CITED REFERENCES**

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**Other Documents**

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Respectfully submitted,

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Date:

10/03/01

By:

  
**Timothy R. Baumann**  
**Registration No. 40,502**